

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Clarke *et al.*
Application Serial No.: 09/920,517
Filed: August 1, 2001
Title: ISOLATION AND USE OF SOLID TUMOR STEM CELLS

Group Art Unit: 1632
Examiner: Li, Qian J.

Atty. Docket No.: 060173-0014 (UMIP-003)

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(1)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on the date indicated below.

Date: December 23, 2002


John Prince

Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. 1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. The order of presentation of the references should not be construed as an indication of the importance of the references.

This Information Disclosure Statement is being been filed before a first Office Action on the merits. Accordingly no fee is believed to be due. 37 C.F.R. 1.97.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the U.S. Patent & Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b); and (3) the

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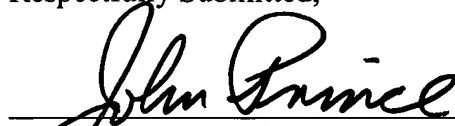
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information cited in the Statement is, or is considered in fact to be prior art as defined by 35 U.S.C. §102.

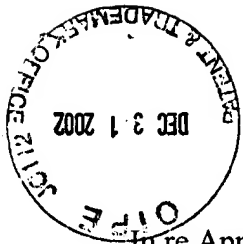
Notwithstanding any statements by the Applicants, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-1133.

Respectfully Submitted,

Date: December 23, 2002



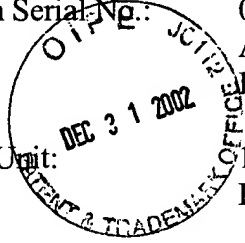
John Prince, Reg. No. 43,019
McDERMOTT, WILL & EMERY
28 State Street
Boston, Massachusetts 02109-1775
Telephone: 617-535-4435
Facsimile 617-535-3800



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TRANSMITTAL LETTER

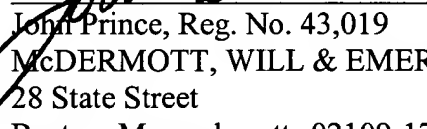
Transmitted herewith for filing in the above-identified patent application are the following documents:

- ☒ a Supplemental Information Disclosure Statement (2 pages)
- ☒ a Form PTO-1449 for Information Disclosure Statement (1 page; in duplicate)
- ☒ submitted references (B3 and C44-C45); and
- ☒ a return postcard

The Commissioner is hereby authorized to charge any additional fees that may be required or credit any overpayment to Deposit Acct. No. 50-1133.

Respectfully Submitted,

Date: December 23, 2002


John Prince, Reg. No. 43,019
McDERMOTT, WILL & EMERY
28 State Street
Boston, Massachusetts 02109-1775
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Facsimile 617-535-3800